PTO/SB/05 (12/98) Please type a plus sign (+) inside this box e through 09/30/00. OMB 0651-0032 Patent and Trademark e: U.S. DEPARTMENT OF COMMERCE inder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ≣ 6 UTILITY Attorney Docket No. **GEMVAL P15AUS** EATENT APPLICATION First Named Inventor or Appln Identified: Roger MASSEY TRANSMITTAL Title: BAR-STOCK BALL VALVE on new nonprovisional EL347242396US Express Mail Label No. mations under CFR 1.53(b) APPLICATION ELEMENTS **Assistant Commissioner for Patents** See MPEP chapter 600 concerning utility patent appln. contents. ADDRESS TO: **Box Patent Application** Washington, DC 20231 1. 🗆 Fee Transmittal Form 6. □ Microfiche Computer Program (Appendix) (submit an original, and a duplicate for fee processing) 7. 🗆 Nucleotide an/or Amino Acid Sequence 2. 🗆 Specification (Total Pages) [ 10 ] Submission (preferred arrangement set forth below) (if applicable, all necessary) · Descriptive title of the invention Cross References to Related Applications a. 

Computer Readable Copy · Statement Regarding Fed sponsored R & D Reference to Microfiche Appendix b. ☐ Paper Copy (identical to computer copy) · Background of the Invention · Brief Summary of the Invention c. 

Statement verifying identity of above copies Brief Description of the Drawings (if filed) **ACCOMPANYING APPLICATION PARTS**  Detailed Description 8. ☐ Assignment Papers (cover sheet & document(s)) Claim(s) · Abstract of the Disclosure 9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney (when there is an assignee) 3. □ Drawings (35 USC 113) (Total Pages) [3] 10. ☐ English Translation Document (if applicable) 4. 🗆 Oath or Declaration (Total Pages) [2] ☐ Copies of IDS 11. ☐ Information Disclosure a. ☐ Newly executed (original or copy) Statement (IDS)/PTO-1449 Citations b. 

Copy from a prior application (37 CFR 1.63(d)) 12. 

□ Preliminary Amendment (for continuation/divisional with Box 17 completed) (Note Box 5 below) 13. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized) i. □ DELETION OF INVENTOR(S) Signed statement attached deleting 14. ☐ Small Entity ☐ Statement filed in prior application, inventor(s) named in the prior application, Status still proper and desired. Statement see 37 CFR 1.63(d)(2) and 1.33(b). 15. ☐ Certified Copy of Priority Document(s) 5. ☐ Incorporation By Reference (useable if Box 4b is checked) (if foreign priority is claimed) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied 16. ☐ Other: Express Mail Certificate-1 pg.; under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: ☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) of prior application No.:\_ 18. CORRESPONDENCE ADDRESS

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Registration No.: 27,868

Date: June 1, 2000

Practitioner's Docket No.: GEMVAL P15AUS



In re application of: : Rog r MASSEY

Serial No.:

Group No.:

Filed:

Examiner:

For:

**BAR-STOCK BALL VALVE** 

Assistant Commissioner for Patents Washington, D.C. 20231

## **EXPRESS MAIL CERTIFICATE**

"Express Mail" label number: EL 347242396US

Date of Deposit: \_\_\_\_June 1, 2000

I hereby state that the following attached paper or fee:

Express Mail Certificate-1 pg.;
Fee Transmittal Ltr (+Dupl)-2 pgs.;
Patent Application Transmittal-1 pg;
Check for \$385;
Specification/Claims/Abstract-10 pgs.;
Drawings (Figs. 1-8)-3 pgs.;
Declaration & Power of Atty-2 pgs.;
Assignment & Cover-2 pgs.;
Verified Statement Claiming Small Entity Status-3 pgs.

is/are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, on the date indicated above and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

Anthony G. M. Davis

Signature of person mailing paper or fee

NOTE: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings, it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.

Complete if Known

## **FEE TRANSMITTAL**

## for FY 2000

Application No. Filing Date

The Commission and credit any Deposit Account Number Deposit Account Name: Charge Any Adand 1.17  Check  Payment Enclose Check  1. FILING FEE Large Entity Small Fee Fee Code (\$) Code 101 690 201 106 310 206 107 480 207 108 690 208 114 150 214	sioner is herel ny over payme ber: 04-021 e: DAVIS Additional Fee	■ The and	85	Patent fees are subject to annual revision Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  Filing Date First Named Inv Group Art Unit Examiner Name					entor Roger MASSEY				
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Large	■ Check ☐ Money Order ☐ Other					920*	112	920*	re-examination  Requesting publication of				
Large	FEE CALCULATION					1,840*	113	1,840*	SIR prior to Examiner action  Requesting publication of SIR after Examiner action				
Large		FILING FE			115	110	215	55		oly w/in 1 mon			
Fee Code         Fee Code         Fee Code           101         690         201           106         310         206           107         480         207           108         690         208           114         150         214           2         CLAIMS         5-20           Ind. Claims         2- 3           Mult.Ind.Claims         2- 3           ** or number previously           Large Fee Code         [\$]           (\$)         Code           103         18         203           102         78         202           104         260         204	nall Entity		•		116	380	216	190		oly w/in 2 mon			
106 310 206 107 480 207 108 690 208 114 150 214  2. CLAIMS  Total Claims 5-20 Ind. Claims 2- 3 Mult.Ind.Claims ** or number previously Large Entity Small Fee Fee Code (\$) Code 103 18 203 102 78 202 104 260 204	e Fee		Fee Description	Fee Pale		870	217	435	•	oly w/in 3 mon			
107 480 207 108 690 208 114 150 214  2. CLAIMS  Total Claims 5-20 Ind. Claims 2- 3 Mult.Ind.Claims  * or number previously Large Entity Small Fee Fee Fee Code (\$) Code 103 18 203 102 78 202 104 260 204	1 345	1 690	Utility filing fee	345	118	1,360	218	680		oly w/in 4 mon			
107 480 207 108 690 208 114 150 214  2. CLAIMS  Total Claims 5-20 Ind. Claims 2- 3 Mult.Ind.Claims  * or number previously Large Entity Small Fee Fee Fee Code (\$) Code 103 18 203 102 78 202 104 260 204		6 310	Design filing fee		128	1,850	228	925		oly w/in 5 mon			
108 690 208  114 150 214  2. CLAIMS  Total Claims 5-20  Ind. Claims 2- 3  Mult.Ind.Claims  * or number previously  Large Entity Small Fee Fee Code (\$) Code  103 18 203  102 78 202  104 260 204			Plant filing fee		119	300	219	150	Notice of				
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Ind. Claims 2- 3  Mult.Ind.Claims  * or number previously  Large Entity Small Fee Fee Fee Code (\$) Code  103 18 203  102 78 202  104 260 204	Extra			Fee Paid	140	110	240	55		proceeding			
Mult.Ind.Claims  ** or number previously Large Entity Small Fee Fee Code (\$) Code  103 18 203  102 78 202  104 260 204	Total Claims 5-20** = x		X =	0	141	1,210	241	605	unavoidab Petition to	le			
** or number previously  Large Entity Small Fee Fee Fee Code (\$) Code  103 18 203  102 78 202  104 260 204	nd. Claims 2- 3 =		x = 0		142	1,210	242	605	unintention	nal			
Large         Entity         Small Fee           Fee         Fee         Fee           Code         (\$)         Code           103         18         203           102         78         202           104         260         204	lult.Ind.Claims x		= 0						(for reissu	e)			
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Code         (\$)         Code           103         18         203           102         78         202           104         260         204	•	-			144	580	244	290	Plant issue				
102     78     202       104     260     204			Fee Descri	iption	122	130	122	130	Petitions to the Commissioner				
104 260 204	3 9	3 18	Claims in excess of 20		123	50	123	50	Petition related to provisional applns.				
	2 39	2 78	Independent claims	in excess of 3	126	240	126	240	Submission of Info.Disclo.Stmt.				
109 78 209	4 130	4 260	Multiple dependent		581	40	581	40	Recording	ea. patent at per property	\$40		
			* * Reissue independ over original patent	lent claims	146	760	246	380	(times No.of properties) Filing a submission after final rejection (37 CFR 1.129(a))				
110 18 210	0 9	0 18	**Reissue claims in		149	690	249	345	For ea.ado	litional invention to (37 CFR 1.129(b))	be		
	20 and over original patent					ee (specify ed by Basi		Fee Paid			\$40		
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SUBMITTED BY	<del>.</del>	IBMITTED E							Completed	if applicable!			
Typed or Anthony G. M. Davis							Completed (if applicable)  Registration 27,868						
Printed Name				ate: June 1	1, 2000		Number  Deposit Account 04-0213						